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|-------------------------------------------------------------------------|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------------------------------------------|----------------------------|------------------------------------------------------------------|-------------------------------|
| Form PTO 1449<br>(Modified)                                             |                | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                                                                                                                                                                                                                                     |          | ATTY DOCKET NO.<br>265265US0PCT              |                            | SERIAL NO.<br>10/522,722                                         |                               |
| LIST OF REFERENCES CITED BY APPLICANT                                   |                |                                                                                                                                                                                                                                                                                                |          | APPLICANT<br>Hisao KATOU, et al.             |                            |                                                                  |                               |
|                                                                         |                |                                                                                                                                                                                                                                                                                                |          | FILING DATE<br>January 28, 2005              |                            | GROUP                                                            |                               |
|                                                                         |                |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
| U.S. PATENT DOCUMENTS                                                   |                |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
| EXAMINER<br>INITIAL                                                     |                | DOCUMENT<br>NUMBER                                                                                                                                                                                                                                                                             | DATE     | NAME                                         | CLASS                      | SUB<br>CLASS                                                     | FILING DATE<br>IF APPROPRIATE |
|                                                                         | AA             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AB             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AC             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AD             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AE             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AF             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AG             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AH             |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
| FOREIGN PATENT DOCUMENTS                                                |                |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         |                | DOCUMENT<br>NUMBER                                                                                                                                                                                                                                                                             | DATE     | COUNTRY                                      | TRANSLATION<br>YES NO      |                                                                  |                               |
|                                                                         | AI             | 99/26112                                                                                                                                                                                                                                                                                       | 05/27/99 | WO (equivalent of JP 2001-523842)            |                            |                                                                  | NO                            |
|                                                                         | AJ             | 97/13183                                                                                                                                                                                                                                                                                       | 04/10/97 | WO (equivalent of JP 11-512847 & US 5759721) |                            |                                                                  | NO                            |
|                                                                         | AK             | 7-5796                                                                                                                                                                                                                                                                                         | 01/10/95 | JP                                           |                            |                                                                  | NO                            |
|                                                                         | AL             | 2000-047552                                                                                                                                                                                                                                                                                    | 02/18/00 | JP (English abstract only)                   |                            |                                                                  | NO                            |
|                                                                         | AM             | 57-57487                                                                                                                                                                                                                                                                                       | 12/04/82 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AN             | 57-57488                                                                                                                                                                                                                                                                                       | 12/04/82 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AO             | 57-57489                                                                                                                                                                                                                                                                                       | 12/04/82 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AP             | 57-57490                                                                                                                                                                                                                                                                                       | 12/04/82 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AQ             | 57-57491                                                                                                                                                                                                                                                                                       | 12/04/82 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AR             | 57-57492                                                                                                                                                                                                                                                                                       | 12/04/82 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AS             | 57-133122                                                                                                                                                                                                                                                                                      | 08/17/82 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AT             | 58-021418                                                                                                                                                                                                                                                                                      | 02/08/83 | JP (with English abstract)                   |                            |                                                                  | NO                            |
|                                                                         | AU             | 58-160342                                                                                                                                                                                                                                                                                      | 09/22/83 | JP (with English abstract)                   |                            |                                                                  | NO                            |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |                |                                                                                                                                                                                                                                                                                                |          |                                              |                            |                                                                  |                               |
|                                                                         | AV             | WALDMAN, D.A. et al. "Volume Shrinkage In Slant Fringe Gratings of a Cationic Ring-Opening Holographic Recording Material", Journal of Imaging Science and Technology, vol. 41, no. 5, pages 497-514 1997                                                                                      |          |                                              |                            |                                                                  |                               |
|                                                                         | AW             | HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Al(acac)3-Silanol Catalyst", Journal of Polymer Science: Polymer Chemistry Edition, vol. 19, pages 2185-2194 1981                                                                                                                  |          |                                              |                            |                                                                  |                               |
|                                                                         | AX             | HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Aluminum Complex-Silanol Catalysts. Part III. Dependence of Catalytic Activity on Bulkiness of Silanol and Its Intramolecular Hydrogen Bond", Journal of Polymer Science: Polymer Chemistry Edition, vol. 19, pages 2977-2985 1981 |          |                                              |                            |                                                                  |                               |
|                                                                         | AY             | HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Aluminum Complex/Silanol Catalysts. V. A Catalytic Activity Dependence on the Aluminum Chelate Structure". Journal of Polymer Science: Polymer Chemistry Edition, vol. 20, pages 3155-3165 1982                                    |          |                                              |                            |                                                                  |                               |
|                                                                         | AZ             | HAYASE, S., Kobunshi, vol. 35, no. 2, pages 116-119, with partial English translation 1986                                                                                                                                                                                                     |          |                                              |                            | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner                                                                | /Jessica Paul/ |                                                                                                                                                                                                                                                                                                |          |                                              | Date Considered 06/04/2008 |                                                                  |                               |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.P./